# draft-ietf-eai-downgrade-01 Downgrading Mechanism for Email Address Internationalization (EAI)

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## Changes from Dallas (draft-yoneya-ima-downgrade-01)

- Followed to current draft and Beijing discussion
  - draft-ietf-eai-framework-01.txt
    - refer to Terminology section
  - draft-ietf-eai-smtpext-00.txt
  - draft-ietf-eai-utf8headers-00.txt
    - followed new header format (it is under discussion now)
      - <non-ascii,ascii>, <non-ascii,atomic>, i-Email: 1.0
  - Received header does not contain Non-ASCII.
- Improved header conversion downgrading method

### Overview of Downgrade document

- Downgrading requirements
- SMTP Envelope downgrading
- SMTP Header downgrading
  - Alternative three proposals
    - No header downgrading
    - Downgrading with MIME encapsulation
    - Header conversion
- MDA requirements

### Downgrading Overview

- When SMTP session contains Non-ASCII mail addresses
  - SMTP Envelope contains Non-ASCII mail address (described in smtpext doc)
  - Mail header in SMTP data contains Non-ASCII mail address or UTF-8 raw string (described in utf8header doc)
- MUA/MTA decides to downgrade or bounce if SMTP server (next MTA) does not support EAI extension (described in smtpext doc)
- Downgrading is separated to two processes.
  - SMTP Downgrading
  - Mail Header Downgrading

## **SMTP** Downgrading

## SMTP Downgrading (1)

- SMTP downgrading is performed for each envelope-from and envelope-to pair
  - Multiple recipient session is separated by SMTP downgrading.
- Condition to downgrade is for each Non-ASCII mail address in the SMTP Envelope,
  - ALT-ADDR with US-ASCII address is specified, or
  - ATOMIC y is specified

## SMTP Downgrading (2)

- MTA replaces Non-ASCII mail address with specified or algorithmic generated US-ASCII address
- MTA generates algorithmic US-ASCII address (ALG-ASCII) if no ALT-ADDR option and "ATOMIC y" is specified

# Algorithmic US-ASCII address [ALG-ASCII]

- domain-part: Punycode/IDNA [RFC3490]
- local-part: Encode the local-part string in Punycode[RFC3492] without normalization and prepend the ACE prefix.
  - The prefix MUST be assigned by IANA (which is not "xn--")
  - [STRINGPREP profile should be described]

## SMTP Downgrading (3)

- MTA Appends replaced information with IMA-Downgraded-From: and IMA-Downgraded-To: header to mail header
  - EAI-Downgraded-From: <non-ascii,ascii> <ascii>
  - EAI-Downgraded-From: <non-ascii,atomic> <ascii>
  - EAI-Downgraded-To: <non-ascii,ascii> <ascii>
  - EAI-Downgraded-To: <non-ascii,ascii> <ascii>
- SMTP Downgrading is performed for each envelope from/to pair, only one EAI-Downgraded-To: header is recorded.

### Downgrading example

- Red part contains UTF-8.
- Blue part shows changed parts.
- FROM: NON-ASCII with US-ASCII
  - NON-ASCII-FROM, ASCII-FROM
- TO: NON-ASCII with US-ASCII
  - NON-ASCII-TO, ASCII-TO
- CC: NON-ASCII with ATOMIC y
  - NON-ASCII-CC, ALG-ASCII-CC
- Subject: UTF-8
  - UTF-8\_SUBJECT

### Example (Original EAI session)

MAIL From: <NON-ASCII-FROM> ALT-ADDR <ASCII-FROM>

RCPT TO: <NON-ASCII-TO> ALT-ADDR <ASCII-TO>

RCPT TO: <NON-ASCII-CC> ATOMIC y

i-Email: 1.0

Message-Id: MESSAGE\_ID

Mime-Version: 1.0

Content-Type: text/plain; charset="UTF-8"

Content-Transfer-Encoding: 8bit

Subject: UTF-8\_SUBJECT

From: <NON-ASCII-FROM,ASCII-FROM>

To: <NON-ASCII-TO, ASCII-TO>

CC: <NON-ASCII-CC, atomic>

## Example (after smtp downgrading) session 1

MAIL From: <ASCII-FROM>

RCPT TO: <ASCII-TO>

EAI-Downgraded-From: <NON-ASCII-FROM,ASCII-FROM> <ASCII-

FROM>

EAI-Downgraded-To: <NON-ASCII-TO, ASCII-TO> <ASCII-TO>

i-Email: 1.0

Message-Id: MESSAGE\_ID

Mime-Version: 1.0

Content-Type: text/plain; charset="UTF-8"

Content-Transfer-Encoding: 8bit

Subject: UTF-8\_SUBJECT

From: <NON-ASCII-FROM,ASCII-FROM>

To: <NON-ASCII-TO, ASCII-TO>

CC: <NON-ASCII-CC, atomic>

## Example (after smtp downgrading) session 2

MAIL From: <ASCII-FROM> RCPT TO: <ALG-ASCII-CC>

EAI-Downgraded-From: <NON-ASCII-FROM,ASCII-FROM> <ASCII-FROM>

EAI-Downgraded-To: <NON-ASCII-CC, atomic> <ALG-ASCII-CC>

i-Email: 1.0

Message-Id: MESSAGE\_ID

Mime-Version: 1.0

Content-Type: text/plain; charset="UTF-8"

Content-Transfer-Encoding: 8bit

Subject: UTF-8\_SUBJECT

From: <NON-ASCII-FROM, ASCII-FROM>

To: <NON-ASCII-TO, ASCII-TO>

CC: <NON-ASCII-CC, atomic>

## Mail Header Downgrading

## Mail Header Downgrading

- Three alternatives
  - No header downgrading
    - Introduced in draft-ietf-eai-downgrade-00
    - This may break existing mail infrastructure.
  - Downgrading with MIME encapsulation
    - Introduced in draft-yoneya-ima-downgrade-01
  - Header conversion
    - Introduced in draft-yoneya-ima-downgrade-00
    - updated in draft-ietf-eai-downgrade-01

### MIME encapsulation

- Introduction of new content-type
  - 'Content-Type: Message/EAI' is assumed
- Encoding
  - Downgrade whole message (without Received: header) to be MIME encoded and becomes new MIME part (Message/EAI)
  - Copy Date: , Message-ID: , Received: headers
  - Generate From: , To: header from the envelope-from/to.
- Upgrading
  - If mail message contains only one MIME part and its Content-Type is 'Message/EAI', it may be downgraded
  - If mail body's message-id and MIME part's message-id are the same, it is downgraded message
  - Treat MIME part as entire mail message
- This method can preserve all headers and easy to implement

### Example (Original EAI session)

MAIL From: <NON-ASCII-FROM> ALT-ADDR <ASCII-FROM>

RCPT TO: <NON-ASCII-TO> ALT-ADDR <ASCII-TO>

RCPT TO: <NON-ASCII-CC> ATOMIC y

i-Email: 1.0

Message-Id: MESSAGE\_ID

Mime-Version: 1.0

Content-Type: text/plain; charset="UTF-8"

Content-Transfer-Encoding: 8bit

Subject: UTF-8\_SUBJECT

From: <NON-ASCII-FROM,ASCII-FROM>

To: <NON-ASCII-TO, ASCII-TO>

CC: <NON-ASCII-CC, atomic>

## MIME Encapsulation Example (after smtp downgrading, session 1)

MAIL From: <ASCII-FROM>

RCPT TO: <ASCII-TO>

EAI-Downgraded-From: <NON-ASCII-FROM, ASCII-FROM> <ASCII-

FROM>

EAI-Downgraded-To: <NON-ASCII-TO, ASCII-TO> <ASCII-TO>

i-Email: 1.0

Message-Id: MESSAGE\_ID

Mime-Version: 1.0

Content-Type: text/plain; charset="UTF-8"

Content-Transfer-Encoding: 8bit

Subject: UTF-8\_SUBJECT

From: <NON-ASCII-FROM,ASCII-FROM>

To: <NON-ASCII-TO, ASCII-TO>

CC: <NON-ASCII-CC, atomic>

## MIME encapsulation Example (header)

From:,To: is generated from envelope addresses.

CC: is not generated.

Message-Id: MESSAGE\_ID

Mime-Version: 1.0

Content-Type: Multipart/Mixed;

boundary="--Next\_Part(unique\_string)--"

Content-Transfer-Encoding: 8bit

Subject: DOWNGRADED\_SUBJECT

From: <ASCII-FROM>

To: <ASCII-TO>

## MIME encapsulation Example (mail body)

----Next\_Part(unique\_string)-Content-Type: Message/EAI
Content-Transfer-Encoding: 8bit

Content-Disposition: inline

EAI-Downgraded-From: <NON-ASCII-FROM,ASCII-FROM> <ASCII-FROM>

EAI-Downgraded-To: <NON-ASCII-TO, ASCII-TO> <ASCII-TO>

i-Email: 1.0

Message-Id: MESSAGE\_ID

Mime-Version: 1.0

Content-Type: text/plain; charset="UTF-8"

Content-Transfer-Encoding: 8bit

Subject: UTF-8\_SUBJECT

From: <NON-ASCII-FROM, ASCII-FROM>

To: <NON-ASCII-TO, ASCII-TO> CC: <NON-ASCII-CC, atomic>

Date: DATE

MAIL\_BODY

## MIME Encapsulation Pros and Cons

#### • Pros:

- MTA does not need to decode each header carefully.
- Whole headers can be submitted AS IS.

#### Cons:

- Non-ASCII From:/To: can not distinguish from downgraded mail headers.
- EAI incompliant MUA can not treat any downgraded mail (with unknown MIME type error).
  - EAI compliant MUA can display and reply correctly.

# MIME encapsulation scenario evaluation (1)

- 2.1, 2.2 Two/Three i18nmail user
  - ➤ If downgradable (A,B,C has corresponding ASCII address), both user's MUA decode downgraded message.
  - ◆ Can reply correctly.
- 2.3 i18n mailing list
  - > If the sender's mail is downgradable,
  - ◆ The mailing list upgrades the downgraded mail.
  - ◆ I18n user's MUA upgrades the downgraded mail.
- 2.4. One i18mail user sends to one ascii user
  - ➤ The sender specifies i18mail address with corresponding ascii mail address. Then the mail is downgradable.
  - ◆ EAI capable MUA can display downgraded message and ascii user can reply correctly.
  - EAI incapable MUA cannot display downgraded message and ascii user cannot reply.

# MIME encapsulation scenario evaluation (2)

- 2.5 An i18mail user sends to one ascii user and one i18mail user
  - A sends to B and X; both reply
  - ➢ If the sender A specifies A and B address with corresponding ASCII address, the mail is downgradable.
  - Message received by B is not downgraded (or B's MUA upgrades downgraded message).
  - ◆ B can reply to A and X.
  - Message received by X is downgraded and X's MUA cannot display downgraded message.
  - ◆ If X's MUA is EAI compliant, it can upgrade the downgraded message and reply correctly.
- 2.6. An i18mail user sends to a mailing list with a mix of users
  - ➤ A sends to L, and L has B and X as subscribers. B and X reply.
  - ➤ If the sender A and mailing list L specifies i18mail address with corresponding ASCII address, the mail is downgradable.
  - ◆ ASCII user X can not read the downgraded mail with EAI incompliant MUA.
  - Using EAI compliant MUA, ASCII user X can read and reply the downgraded mail.

# MIME Encapsulation scenario evaluation(3)

- Scenario evaluation
  - EAI incompliant MUA cannot display entire downgraded mail message.
  - EAI compliant MUA can display and reply correctly.

#### Header conversion

- This header downgrading method is converting all headers which contains Non-ASCII characters to become ASCII string.
- Added Downgraded header for header preservation.
  - Downgraded: HeaderName: MIME encoded HeaderValue
- Each header has its own downgrading method.

## Header Conversion each header downgrading method

- Address headers which contains sender/recipient mail addresses
  - From:, To:, CC:, Sender:, Reply-To:, Resent-From:, Resent-To:, Resent-CC:
  - Apply address header downgrading method (described in next slide)
- EAI identification header "i-Email"
  - Preserve it in Downgraded header
- ASCII only headers
  - Received:, Date:, Message-ID:, References:, ...
  - preserve as is
- Other headers which may contain UTF-8 string as text data.
  - Subject:, X-\*:, List-\*:, EAI-Downgraded-From:, EAI-Downgraded-To:, ...
  - Encode the header in MIME[RFC2047] with UTF-8 tag

## Header conversion: Address header downgrading (1)

- EAI mailbox name field is defined in utf8header document
  - display-name <NON-ASCII>
    - remove this field
  - display-name <NON-ASCII,US-ASCII>
    - encode display-name in MIME if necessary
    - replace the angle-addr to "<US-ASCII>"
  - display-name <NON-ASCII,ATOMIC>
    - encode display-name in MIME if necessary
    - generate algorithmic US-ASCII address [ALG-ASCII]
    - replace the angle-addr to "<ALG-ASCII>
  - display-name <US-ASCII>
    - encode display-name in MIME if necessary

## Header conversion: Address header downgrading(2)

- If each address header contains NON-ASCII characters
  - Extract each <mailbox> field and downgrade it.
  - If all header fields are removed, remove the header
  - If From: header is removed, generate new From: header from envelope from.

### Header conversion: downgrading

- Preserve 'i-Email:' header in 'Downgraded' header.
- For all headers, check if they contain UTF-8 characters.
- If each header contains UTF-8 characters,
  - If the header is the address header
    - Preserve it in Downgraded: header, and
    - Downgrade it
  - Another header
    - Encode it by MIME[RFC2047]

### Header conversion: upgrading

- 1. If the mail has Downgraded: header, it is a downgraded EAI mail message.
  - Checking "Downgraded: i-Email: 1.0" header may be required
- 2. Decode all Downgraded header
  - [2.1] If the header is the address header,
    - [2.1.1] Apply address header downgrading to the decoded header
    - [2.1.2] Remove the header line which is the same to the downgraded line.
  - [2.2] Replace the Downgraded header with the corresponding decoded header.
- 3. If each header has MIME encoded part and its encoding is "UTF-8", it may be a downgraded header, so decode it.

## Header conversion Example (Original EAI message)

i-Email: 1.0

Message-Id: MESSAGE\_ID

Mime-Version: 1.0

Content-Type: text/plain; charset="UTF-8"

Content-Transfer-Encoding: 8bit

Subject: UTF-8\_SUBJECT

From: <NON-ASCII-FROM,ASCII-FROM>

To: <NON-ASCII-TO, ASCII-TO>

CC: <NON-ASCII-CC, atomic>

Date: DATE

MAIL\_BODY

## Header Conversion Example (after smtp downgrading, session 1)

MAIL From: <ASCII-FROM>

RCPT TO: <ASCII-TO>

EAI-Downgraded-From: <NON-ASCII-FROM, ASCII-FROM> <ASCII-

FROM>

EAI-Downgraded-To: <NON-ASCII-TO, ASCII-TO> <ASCII-TO>

i-Email: 1.0

Message-Id: MESSAGE\_ID

Mime-Version: 1.0

Content-Type: text/plain; charset="UTF-8"

Content-Transfer-Encoding: 8bit

Subject: UTF-8\_SUBJECT

From: <NON-ASCII-FROM,ASCII-FROM>

To: <NON-ASCII-TO, ASCII-TO>

CC: <NON-ASCII-CC, atomic>

### Header conversion Example (after header downgrading)

EAI-Downgraded-From: MIME(<NON-ASCII-FROM,ASCII-FROM>) <ASCII-FROM>

EAI-Downgraded-To: MIME(<NON-ASCII-TO,ASCII-TO>) <ASCII-TO>

Downgraded: i-Email: 1.0 Message-Id: MESSAGE ID

Mime-Version: 1.0

Content-Type: text/plain; charset="UTF-8"

Content-Transfer-Encoding: 8bit Subject: MIME(UTF-8\_SUBJECT)

Downgraded: From: MIME(<NON-ASCII-FROM,ASCII-FROM>)

From: <ASCII-FROM>

Downgraded: To: MIME(<NON-ASCII-TO,ASCII-TO>)

To: <ASCII-TO>

Downgraded: CC: MIME(<NON-ASCII-CC,atomic>)

CC: <ALG-ASCII-CC>

Date: DATE

MAIL\_BODY

## Header conversion Example (upgrading 1)

#### Pick "Downgraded:" headers

- 1. Downgraded: i-Email: 1.0
- 2. Downgraded: From: MIME(<NON-ASCII-FROM,ASCII-FROM>)
- 3. Downgraded: To: MIME(<NON-ASCII-TO,ASCII-TO>)
- 4. Downgraded: CC: MIME(<NON-ASCII-CC,atomic>)

#### Decode Downgraded: headers [2] (decoded downgraded headers)

- 1. i-Email: 1.0
- 2. From: <NON-ASCII-FROM,ASCII-FROM>
- 3. To: <NON-ASCII-TO,ASCII-TO>
- 4. CC: <NON-ASCII-CC, atomic>
- 2,3,4 is address headers. Apply address header downgrading for decoded address headers [2.1.1] (reproduced downgraded headers)
  - 2. From: <ASCII-FROM>
  - 3. To: <ASCII-TO>
  - 4. CC: <ALG-ASCII-CC>

### Header conversion Example (upgrading 2)

Remove the header line which is the same to the reproduced downgraded headers [2.1.2]

Replace Downgraded headers with decoded downgraded headers.[2.2] As a result, address headers are restored.

```
EAI-Downgraded-From:
```

MIME(<Non-ASCII,DOWNGRADED\_FROM>) <DOWNGRADED\_FROM> EAI-Downgraded-To:

MIME(<Non-ASCII,DOWNGRADED TO>) <DOWNGRADED TO>

i-Email: 1.0

Message-Id: MESSAGE\_ID

Mime-Version: 1.0

Content-Type: text/plain; charset="UTF-8"

Content-Transfer-Encoding: 8bit Subject: MIME(UTF-8 SUBJECT)

From: <NON-ASCII-FROM, ASCII-FROM>

To: <NON-ASCII-TO, ASCII-TO> CC: <NON-ASCII-CC, ASCII-CC>

### Header conversion Example (upgrading 3)

Decode MIME encoded part. [3] As a result, all headers are restored.

Content-Type: text/plain; charset="UTF-8"

Content-Transfer-Encoding: 8bit

Subject: UTF-8\_SUBJECT

From: <NON-ASCII-FROM,ASCII-FROM>

To: <NON-ASCII-TO, ASCII-TO> CC: <NON-ASCII-CC, ASCII-CC>

### Header conversion Pros and Cons

#### Pros

- EAI incompliant MUA displays the downgraded mail body except original Non-ASCII mail addresses.
- EAI incompliant MUA displays and handles the sender specified or algorithmic address.
- EAI compliant MUA displays and handles original headers.
- The address headers are preserved. The other headers may be preserved.

#### Cons:

- Implementation and processing cost is higher than 'Header Encapsulation' because MUA/MTA must parse each header and encode it by defined method.
- Hard to preserve whole information AS IS. The address headers are preserved but the other headers which is MIME encoded with UTF-8 tag are not distinguished that it is downgraded or it is encoded by sender's MUA. Therefore, to check DKIM requires special consideration.

# Header conversion scenario evaluation (1)

- 2.1, 2.2 Two/Three i18nmail user
  - ➤ If downgradable (A,B,C has corresponding ASCII address), both user's MUA decode downgraded message.
  - ◆ Can reply correctly.
- 2.3 i18mail mailing list
  - > Sender's mail is downgradable.
  - > The mailing list upgrades the downgraded mail.
  - all messages arrive, with i18mail addresses preserved for all 3 users.
- 2.4. One i18mail user sends to one ascii user
  - ➤ The sender specifies i18mail address with corresponding ascii mail address. Then the mail is downgradable.
  - ◆ ascii user cannot read i18mail address, but can read sender's ascii address and can reply to the sender.

# Header conversion scenario evaluation (2)

- 2.5 An i18mail user sends to one ascii user and one i18mail user
  - A sends to B and X; both reply
  - ➤ If the sender A specifies A and B address with corresponding ASCII address, the mail is downgradable.
  - Message received by B is not downgraded (or B's MUA upgrades downgraded message).
  - ◆ B can reply to A and X.
  - Message received by X is downgraded and X's MUA cannot display i18mail address.
  - ◆ X can reply to A and B using A and B's ASCII address.
- 2.6. An i18mail user sends to a mailing list with a mix of users
  - A sends to L, and L has B and X as subscribers. B and X reply.
  - ➤ If the sender A and mailing list L specifies i18mail address with corresponding ASCII address, the mail is downgradable.
  - ASCII user X can read the ASCII address of A and L and can reply to A and I.
  - I18mail user B can read the i18mail address of A and L and can reply A and L.

# Header conversion scenario evaluation (3)

- Header conversion satisfies all cases.
  - If all Non-ASCII mail address is specified with the corresponding ASCII address.

### Choose header downgrading method

	MIME Encapsulation	Header Conversion
Header information preservation	perfect	almost
Implementation cost	easy	hard
Display on EAI incompliant MUA	Unreadable Unknown MIME type	Readable US-ASCII address only
Scenario evaluation	EAI compliant MUA satisfies all scenario	Satisfies all scenario

### Implementation cost

- Header conversion
  - Need to check, parse and rewrite all headers that have UTF-8 strings.
    - Full RFC 2822 ABNF support is required
    - Replace each <Non-ASCII, ...> addr-spec to be US-ASCII
- MIME encapsulation
  - Check i-Email: header existence only.
  - Downgrading and Upgrading are easy MIME manipulation.