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RTP Payload Format for Standard apt-X and Enhanced apt-X Codecs  
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Abstract

This document specifies a scheme for packetizing Standard apt-X, or Enhanced apt-X, encoded audio data into Real-time Transport Protocol (RTP) packets. The document describes a payload format that permits transmission of multiple related audio channels in a single RTP payload, and a means of establishing Standard apt-X and Enhanced apt-X connections through the Session Description Protocol (SDP).

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## 1. Introduction

This document specifies the payload format for packetization of audio data, encoded with the Standard apt-X or Enhanced apt-X audio coding algorithms, into the Real-time Transport Protocol (RTP). [RFC3550].

The document outlines some conventions, a brief description of the operating principles of the audio codecs, and the payload format capabilities. The RTP payload format is detailed and a relevant example of the format is provided. The media type, its mappings to SDP [RFC4566] and its usage in the SDP offer/answer model are also specified. Finally, some security considerations are outlined.

This document registers a media type (audio/aptx) for the RTP payload format for the Standard apt-X and Enhanced apt-X audio codecs.

## 2. Conventions

This document uses the normal IETF bit-order representation. Bit fields in figures are read left to right and then down. The leftmost bit in each field is the most significant. The numbering starts from 0 and ascends, where bit 0 will be the most significant.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

### 3. Standard apt-X and Enhanced apt-X Codecs

Standard apt-X and Enhanced apt-X are proprietary audio coding algorithms, which can be licensed from CSR plc. and are widely deployed in a variety of audio processing equipment. For commercial reasons, the detailed internal operations of these algorithms are not described in standards or reference documents. However, the data interfaces to implementations of these algorithms are very simple, and allow easy RTP packetization of data coded with the algorithms, without a detailed knowledge of the actual coded audio stream syntax.

Both the Standard apt-X and Enhanced apt-X coding algorithms are based on Adaptive Differential Pulse Code Modulation principles. They produce a constant coded bit rate that is scaled according to the sample frequency of the uncoded audio. This constant rate is 1/4 of the bit rate of the uncoded audio, irrespective of the resolution (number of bits) used to represent an uncoded audio sample. For example, a 1.536 Mbit/s stereo audio stream, composed of 2 channels of 16-bit Pulse Code Modulated (PCM) audio that is sampled at a frequency of 48 kHz, is encoded at 384 kbit/s.

Standard apt-X and Enhanced apt-X do not enforce a coded frame structure, and the coded data forms a continuous coded sample stream with each coded sample capable of regenerating 4 PCM samples when decoded. The Standard apt-X algorithm encodes 4 successive 16-bit PCM samples from each audio channel into a single 16-bit coded sample per audio channel. The Enhanced apt-X algorithm encodes 4 successive 16-bit or 24-bit PCM samples from each audio channel and respectively produces a single 16-bit or 24-bit coded sample per channel. The same RTP packetisation rules apply for each of these algorithmic variations.

Standard apt-X and Enhanced apt-X coded data streams can optionally carry synchronisation information and an auxiliary data channel within the coded audio data without additional overhead. These mechanisms can, for instance, be used when the IP system is cascaded with another transportation system and the decoder is acting as a simple bridge between the two systems. Since auxiliary data channel and synchronisation information are carried within the coded audio data without additional overhead, RTP payload format rules do not change if they are present. Out-of-band signalling is required however to notify the receiver end when autosync and auxiliary data have been embedded in the apt-X stream.

Embedded auxiliary data is typically used to transport non-audio data, and timecode information for synchronisation with video. The bit rate of the auxiliary data channel is 1/4 of the sample frequency. For example with a single audio channel encoded at  $F_s = 48\text{kHz}$ , an auxiliary data bit rate of 12 kbit/s can be embedded.

apt-X further provides a means of stereo pairing apt-X channels so that the embedded autosync and auxiliary data channel can be shared across the channel pair. In the case of a 1.536 Mbit/s stereo audio stream, composed of 2 channels of 16-bit PCM audio that is sampled at 48 kHz, a byte of auxiliary data would typically be fed into the Standard or Enhanced apt-X encoder once every 32 uncoded left channel samples. By default apt-X channels pairing is not enabled. Out-of-band signalling is required to notify the receiver when the option is being used.

Standard apt-X and Enhanced apt-X decoders that have not been set up with the correct embedded autosync, auxiliary data and stereo pairing information will play out uncoded PCM samples with a loss of decoding quality. In the case of standard apt-X the loss of quality can be significant.

Further details on the algorithm operation can be obtained from CSR plc.

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#### 4. Payload Format Capabilities

This RTP payload format carries an integer number of Standard apt-X or Enhanced apt-X coded audio samples. When multiple related audio channels are being conveyed within the payload, each channel contributes the same integer number of apt-X coded audio samples to the total carried by the payload.

##### 4.1. Use of Forward Error Correction (FEC)

Standard apt-X and Enhanced apt-X do not inherently provide any mechanism for adding redundancy or error-control coding into the coded audio stream. Generic forward error correction schemes for RTP such as RFC 2198 [RFC2198] and RFC 5109 [RFC5109] can be used to add redundant information to Standard apt-X and Enhanced apt-X RTP packet streams, making them more resilient to packet losses at the expense of a higher bit rate.



## 5. Payload Format

The Standard apt-X and Enhanced apt-X algorithms encode 4 successive PCM samples from each audio channel and produce a single compressed sample for each audio channel. The encoder MUST be presented with an integer number *S* of input audio samples, where *S* is an arbitrary multiple of 4. The encoder will produce exactly *S*/4 coded audio samples. Since each coded audio sample is either 16 or 24 bits, the amount of coded audio data produced upon each invocation of the encoding process will be an integer number of bytes. RTP packetization of the encoded data SHALL be on a byte-by-byte basis.

### 5.1. RTP Header Usage

Utilization of the Standard apt-X and Enhanced apt-X coding algorithms does not create any special requirements with respect to the contents of the RTP packet header. Other RTP packet header fields are defined as follows.

- o V - As per [RFC3550]
- o P - As per [RFC3550]
- o X - As per [RFC3550]
- o CC - As per [RFC3550]
- o M - As per [RFC3551] Section 4.1
- o PT - A dynamic payload type, MUST be used. [RFC3551]
- o SN - As per [RFC3550]
- o Timestamp - As per [RFC3550]. The RTP timestamp reflects the instant at which the first audio sample in the packet was sampled, that is, the oldest information in the packet.

Header field abbreviations are defined as follows.

V - Version Number

P - Padding

X - Extensions

CC - Count of contributing sources

M - Marker

PT - Payload Type

PS - Payload Structure

### 5.2. Payload Structure

The RTP payload data for Standard apt-X and Enhanced apt-X MUST be structured as follows.

Standard and Enhanced apt-X coded samples are packed contiguously into payload octets in "network byte order", also known as big-endian order and starting with the most significant bit. Coded samples are packed into the packet in time sequence beginning with the oldest coded sample. An integer number of coded samples MUST be within the same packet.

When multiple channels of Standard and E-APTX coded audio, such as in a stereo program, are multiplexed into a single RTP stream, the coded samples from each channel, at a single sampling instant, are interleaved into a coded sample block according to the following standard audio channel ordering, [RFC3551]. Coded sample blocks are then packed into the packet in time sequence beginning with the oldest coded sample block.

- l left
- r right
- c center
- S surround
- F front
- R rear

channels,	description,	channel					
		1	2	3	4	5	6
2	stereo	l	r				
3		l	r	c			
4		l	c	r	S		
5		Fl	Fr	Fc	Sl	Sr	
6		l	lc	c	r	rc	S

For the two-channel encoding example, the sample sequence is (left channel, first sample), (right channel, first sample), (left channel, second sample), (right channel, second sample). Coded Samples for all

channels, belonging to a single coded sampling instant, MUST be contained in the same packet. All channels in the same RTP stream MUST be sampled at the same frequency.

### 5.3. Default Packetization Interval

The default packetization interval MUST have a duration of 4 milliseconds. When an integer number of coded samples per channel cannot be contained within this 4 milliseconds interval, the default packet interval MUST be rounded down to the nearest packet interval that can contain a complete integer set of coded samples. For example when encoding audio with either Standard or Enhanced apt-X, sampled at 11025 Hz, 22050 Hz, or 44100 Hz, the packetization interval MUST be rounded down to 3.99 milliseconds.

The packetization interval sets limits on the end-to-end delay; shorter packets minimize the audio delay through a system at the expense of increased bandwidth while longer packets introduce less header overhead but increase delay and make packet loss more noticeable. A default packet interval of 4 milliseconds maintains an acceptable ratio of payload to header bytes and minimizes the end-to-end delay to allow viable interactive apt-X based applications. All implementations MUST support this default packetization interval.

### 5.4. Implementation Considerations

An application implementing this payload format MUST understand all the payload parameters that are defined in this specification. Any mapping of these parameters to a signalling protocol MUST support all parameters. Implementation can always decide whether they are capable of communicating based on the entities defined in this specification.

### 5.5. Payload Example

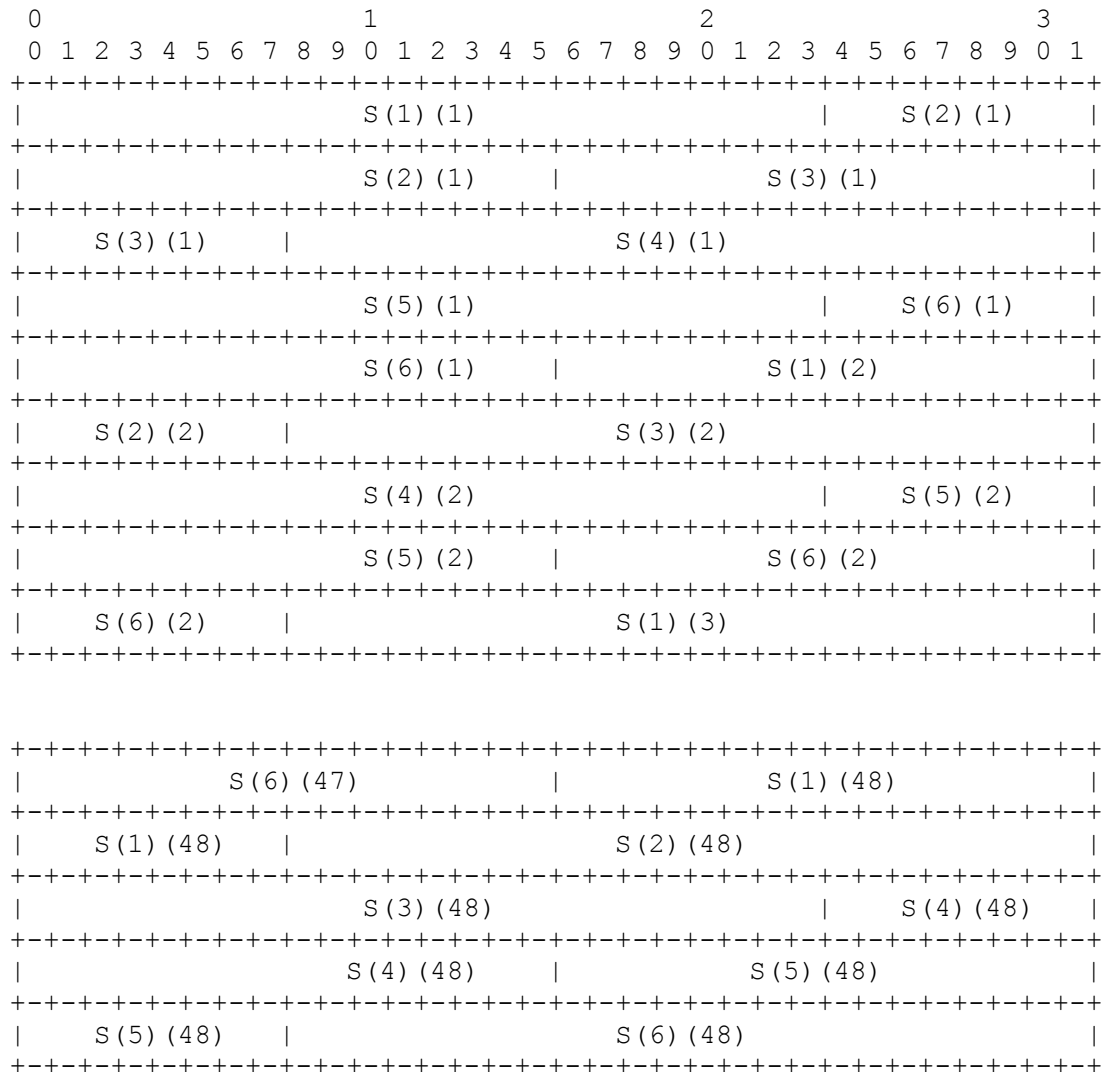
As an example payload format, consider the transmission of an arbitrary 5.1 audio signal consisting of 6 channels of 24-bit PCM data, sampled at a rate of 48 kHz and packetized on a RTP packet interval of 4 milliseconds. The total bit rate before audio coding is  $6 * 24 * 48000 = 6.912$  Mbits/s. Applying Enhanced apt-X coding, with a coded sample size of 24 bits, results in a transmitted coded bit rate of 1/4 of the uncoded bit rate, i.e. 1.728 Mbit/s. On packet intervals of 4 milliseconds, packets contain 864 bytes of encoded data that contain 48 Enhanced apt-X coded samples per channel.

For the example format, the diagram below shows how coded samples from each channel are packed into a sample block and how sample blocks 1, 2, and 48 are subsequently packed into the RTP packet.

C:  
 Channel index: Left (l) = 1, left centre (lc) = 2, centre (c) = 3, right (r) = 4, right centre (rc) = 5, surround (S) = 6.

T:  
 Sample Block time index: The first sample block is 1, the final sample is 48.

S(C) (T) :  
 The Tth sample from channel C

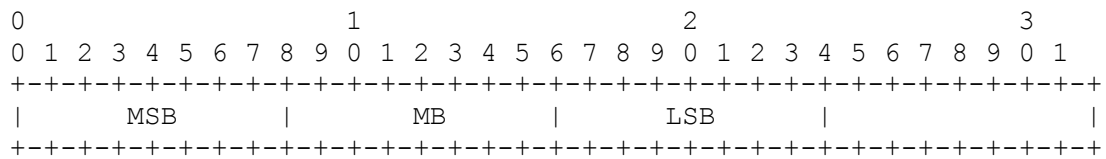


For the example format, the diagram below indicates the order that coded bytes are packed into the packet payload in terms of sample byte significance. The following abbreviations are used.

MSB:  
Most Significant Byte of a 24-bit coded sample

MB:  
Middle Byte of a 24-bit coded sample

LSB:  
Least Significant Byte of a 24-bit coded sample



## 6. Payload Format Parameters

This RTP payload format is identified using the media type audio/aptx, which is registered in accordance with RFC 4855 [RFC4855] and using the template of RFC 6838 [RFC6838]

### 6.1. Media Type Definition

Type name: audio

Subtype name: aptx

Required parameters:

rate:

RTP timestamp clock rate, which is equal to the sampling rate in Hz. -- RECOMMENDED values for rate are 8000, 11025, 16000, 22050, 24000, 32000, 44100 and 48000 samples per second. Other values are permissible.

channels:

The number of logical audio channels that are present in the audio stream.

variant:

The variant of apt-X (i.e. Standard or Enhanced) that is being used. The following variants can be signalled:

variant=standard

variant=enhanced

bitresolution:

The number of bits used by the algorithm to encode 4 PCM samples. This value MAY only be set to 16 for Standard apt-X and 16 or 24 for Enhanced apt-X.

Optional parameters:

ptime:

The recommended length of time (in milliseconds) represented by the media in a packet. Defaults to 4 milliseconds. See Section 6 of [RFC4566].

maxptime:

The maximum length of time (in milliseconds) that can be encapsulated in a packet. See Section 6 of [RFC4566].

**stereo-channel-pairs:**

Defines audio channels that are stereo paired in the stream. See Section 3. Each pair of audio channels is defined as two comma-separated values that correspond to channel numbers in the range 1..channels. Each stereo channel pair is preceded by a '{', and followed by a '}'. Pairs of audio channels are separated by a comma. A channel MUST NOT be paired with more than one other channel. Absence of this parameter signals that each channel has been independently encoded.

**embedded-autosync-channels:**

Defines channels that carry embedded autosync. Embedded-autosync-channels are defined as a list of comma-separated values that correspond to channel numbers in the range 1..channels. When a channel is stereo paired, embedded autosync is shared across channels in the pair. The first channel as defined in stereo-channel-pairs MUST be specified in the embedded-autosync-channels list.

**embedded-aux-channels:**

Defines channels that carry embedded auxiliary data. Embedded-aux-channels are defined as a list of comma-separated values that correspond to channel numbers in the range 1..channels. When a channel is stereo paired, embedded auxiliary data is shared across channels in the pair. The second channel as defined in stereo-channel-pairs MUST be specified in the embedded-autosync-channels list.

Encoding considerations: This media type is framed in RTP and contains binary data; see Section 4.8 of [RFC6838].

Security considerations: See Section 5 of [RFC4855] and Section 4 of [RFC4856].

Interoperability considerations: none

Published specification: RFC XXXX

Applications which use this media type: Audio streaming

Fragment identifier considerations: None

Additional information: none

Person & email address to contact for further information: John Lindsay email:lindsay@worldcastsystems.com

Intended usage: Common

Restrictions on usage: This media type depends on RTP framing, and hence is only defined for transfer via RTP [RFC3550].

Author/Change controller: "IETF Payload Working Group delegated from the IESG"

## 6.2. Mapping to SDP

The information carried in the media type specification has a specific mapping to fields in the Session Description Protocol (SDP) [RFC4566] that is commonly used to describe RTP sessions. When SDP is used to describe sessions the media type mappings are as follows.

The type name ("audio") goes in SDP "m=" as the media name.

The subtype name ("aptx") goes in SDP "a=rtpmap" as the encoding name.

The parameter "rate" also goes in "a=rtpmap" as clock rate.

The parameter "channels" also goes in "a=rtpmap" as channel count.

The parameter "maxptime" when present, MUST be included in the SDP "a=maxptime" attribute.

The required parameters "variant" and "bitresolution" MUST be included in the SDP "a=fmtp" attribute.

The optional parameters "stereo-channel-pairs", "embedded-autosync-channels", "embedded-aux-channels" when present, MUST be included in the SDP "a=fmtp" attribute.

The parameter "ptime", when present, goes in a separate SDP attribute field and is signalled as "a=ptime:<value>", where <value> is the number of milliseconds of audio represented by one RTP packet. See Section 6 of [RFC4566].

### 6.2.1. SDP Usage Examples

Some example SDP session descriptions utilizing apt-X encodings follow. In these examples, long a=fmtp lines are folded to meet the column width constraints of this document.

Example 1: A standard apt-X stream that encodes two independent 44.1kHz 16-bit PCM channels into a 4 milliseconds RTP packet.

```
m=audio 5004 RTP/AVP 98
a=rtpmap:98 aptx/44100/2
a=fmtp:98 variant=standard; bitresolution=16;
a=ptime:4
```



Example 2: An enhanced apt-X stream that encodes two 48kHz 24-bit stereo channels into a 4 milliseconds RTP packet and that carries both an embedded autosync and auxiliary data channel.

```
m=audio 5004 RTP/AVP 98
a=rtpmap:98 aptx/48000/2
a=fmtp:98 variant=enhanced; bitresolution=24;
stereo-channel-pairs={1,2}; embedded-autosync-channels=1;
embedded-aux-channels=2

a=ptime:4
```

Example 3: An enhanced apt-X stream that encodes six 44.1kHz 24-bit channels into a 6 milliseconds RTP packet. Channels 1,2 and 3,4 are stereo pairs. Both stereo pairs carry both an embedded autosync and auxiliary data channel.

```
m=audio 5004 RTP/AVP 98
a=rtpmap:98 aptx/44100/6
a=fmtp:98 variant=enhanced; bitresolution=24;
stereo-channel-pairs={1,2},{3,4}; embedded-autosync-channels=1,3;
embedded-aux-channels=2,4
a=ptime:6
```

#### 6.2.2. Offer/Answer Considerations

The only negotiable parameter is the delivery method. All other parameters are declarative. The offer, as described in [RFC3264], may contain a large number of delivery methods per single fmtp attribute, the answerer MUST remove every delivery method and configuration uri not supported. Apart from this exceptional case, all parameters MUST NOT be altered on answer.

## 7. IANA Considerations

One media type (audio/aptx) has been defined and needs registration in the media types registry. See Section 6.1

## 8. Security Considerations

RTP packets using the payload format defined in this specification are subject to the security considerations discussed in the RTP specification [RFC3550], and any appropriate RTP profile (for example [RFC3551]). This implies that confidentiality of the media streams is achieved by encryption. Because the audio coding used with this payload format is applied end-to-end, encryption may be performed after audio coding so there is no conflict between the two operations. A potential denial-of-service threat exists for audio coding techniques that have non-uniform receiver-end computational load. The attacker can inject pathological datagrams into the stream which are complex to decode and cause the receiver to be overloaded. However, the Standard apt-X and Enhanced apt-X audio coding algorithms do not exhibit any significant non-uniformity. As with any IP-based protocol, in some circumstances a receiver may be overloaded simply by the receipt of too many packets, either desired or undesired. Network-layer authentication may be used to discard packets from undesired sources, but the processing cost of the authentication itself may be too high. In a multicast environment, pruning of specific sources may be implemented in future versions of IGMP [RFC3376] and in multicast routing protocols to allow a receiver to select which sources are allowed to reach it.

## 9. Acknowledgements

This specification was facilitated by earlier documents produced by Greg Massey, David Trainer, James Hunter and Derrick Rea along with practical tests carried out by Paul McCambridge of APT Ltd.

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